

# Mortgage Broker Supplemental Application

	Past 12 Months		Projected 12 Months	
	Gross Commission Income (Prior to splits)	# of Loans	Gross Commission Income (Prior to splits)	# of Loans
Residential Mortgage Brokering	\$		\$	
Commercial Mortgage Brokering	\$		\$	

1. Average Loan Amount: \_\_\_\_\_
2. Largest/Maximum Loan Amount: \_\_\_\_\_
3. Total Loan Value for the previous 12 months: \_\_\_\_\_
4. Indicate the percentage of loans which are:
  - a. Residential: \_\_\_\_\_%
  - b. Commercial \_\_\_\_\_%
  - c. Other: \_\_\_\_\_% Please specify: \_\_\_\_\_
5. What percentage of your total loans are:
  - a. Conforming Loans: \_\_\_\_\_%
  - b. Construction Loans: \_\_\_\_\_%
  - c. Jumbo Loans: \_\_\_\_\_%
  - d. Combo Loans: \_\_\_\_\_%
6. What percentage of your total loans have a Yield Spread Premium: \_\_\_\_\_%
7. How many years of mortgage brokering experience does the Applicant have? \_\_\_\_\_
8. In what State(s) is the applicant licensed to perform mortgage brokering services?  
\_\_\_\_\_
9. In transactions where the Applicant serves as both the real estate agent /broker and the mortgage broker, does the Applicant inform the client that they are under no obligation to use the Applicant's mortgage broker services?  
 YES  NO
10. Does the Applicant have any form of discretionary loan making or loan underwriting authority?  YES  NO
11. Do any of your activities include:
  - a. Performing any underwriting duties?  YES  NO
  - b. Soliciting investors/use of your own capital in loans you broker?  YES  NO
  - c. Holding loans longer than 30 days?  YES  NO
  - d. Loans via a warehouse line of credit or other means in your own name?  YES  NO
  - e. Brokering or funding any commercial loans?  YES  NO
  - f. Funding loans without having advance written commitment from an investor?  YES  NO
  - g. Reverse mortgages?  YES  NO
  - h. Providing loan-servicing duties?  YES  NO
  - i. Any non-institutional/private money loans?  YES  NO
12. Do you have written procedures for compliance with Truth in Lending, Equal Credit Opportunity Act & Real Estate Settlement Procedures Act?  YES  NO
13. Do you perform audits?  YES  NO
14. Have you ever had a correspondent relationship terminated by an investor?  YES  NO

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Date